Deposition of Amorphous and Crystalline Al doped ZnO Thin Films by RF Magnetron Sputtering and their Comparative Properties

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Transparent and highly conducting amorphous and crystalline Al doped ZnO thin films were grown on glass substrate at room temperature by RF magnetron sputtering. The structural, electrical, morphological and optical properties of amorphous and crystalline Al doped ZnO thin films were studied. The XRD pattern revealed that the crystalline film corresponds to hexagonal wurtzite structure with (002) preferred orientation. The minimum resistivity $4.2 \times 10^{-3} \Omega\text{m}$ was observed for crystalline film. The AFM and transmission spectra show that the average roughness of the crystalline film is higher than that of the amorphous film. Photoluminescence spectrum shows band to band transition in UV region and defect related transitions peaks in visible region. The deposited crystalline film shows low optical bandgap, 3.32 eV than amorphous film, 3.51 eV.

Keywords: Amorphous; Al doped ZnO; XRD; RF Power; Ar gas flow

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1. Introduction

The transparent conducting oxides (TCO) are widely studied because of their unique and useful properties like high transparency as well as conductivity. The demand of TCO material goes on increasing due to its interesting applications in photovoltaic solar cell, flat panel display, liquid crystal display and wave guides in plasmonic device.¹ The ITO (indium tin oxide) is the most effective TCO material for electronics and optical applications, because of its high conductivity and transparency in visible region. However, issues arises with ITO due to the low natural abundance of indium. Hence, it is necessary to find alternative to ITO, which is having large availability, high transparency, high conductivity and low cost. Al doped ZnO is the most promising material for many applications like counter electrode, sensors, optoelectronics, LCD etc. Because it has wide optical bandgap 3.37 eV, at room temperature, high mechanical, electrical and thermal stability, high transparency in whole visible region, highly conducting with large exciton binding energy 60 meV.² Numerous methods have been employed to prepare Al doped ZnO thin films such as pulsed laser deposition,³ sol-gel, RF magnetron sputtering,⁴ spray pyrolysis⁵ and chemical vapor deposition. Among those RF sputtering is one of most efficient, easy and low cost techniques for the preparations of Al doped ZnO thin films, which produce well crystalline, high surface area and adhesive thin films and film deposition take place at room temperature as well.⁶ In the present work, deposition of amorphous and crystalline Al doped ZnO films has been carried out using RF magnetron sputtering and their structural, optical, morphological and electrical properties has been studied with comparison.

2. Experimental and Characterization

The amorphous and crystalline Al doped ZnO thin films were deposited by RF magnetron sputtering consisting of (99.99 % purity, 76.2 mm diameter, 3 mm thickness, Al_2O_3 : ZnO = 2:98 wt %) Al doped ZnO ceramic target. The cleaned glass substrate was placed at the center of the substrate holder inside the sputtering chamber. The chamber was evacuated to a base pressure of $< 10^{-5}$ Torr by a turbo molecular pump. The amorphous Al doped ZnO film was deposited at 100 W RF power, keeping Ar gas flow rate at 80 sccm and deposition was carried out for 15 minutes at room temperature. Similarly, the crystalline Al doped ZnO film was deposited using above mentioned parameters at 200 W RF power. The optical, micro structural, morphological and electrical properties of the amorphous and crystalline Al doped ZnO thin films were studied systematically. The transmission and absorption spectra were measured with optical JASCO V-770 UV-Vis spectrophotometer. Perkin Elmer LS-55 photoluminescence spectrometer was used to study photoluminescence spectra. The micro structural properties of amorphous and crystalline Al doped ZnO films were studied using X-ray diffractometer, model Bruker D8 with Cu $K\alpha$ radiation of wavelength $1.5405 \text{ \AA}$ to investigate the crystallinity, crystallite size and crystal orientation. The surface morphology of as-deposited amorphous and crystalline Al doped ZnO films were studied by using atomic force microscope. The resistivity, carrier concentration and mobility of the Al doped ZnO thin films were measured by using Hall measurement system using Van der pauw technique.

3. Results and Discussion

3.1 Micro Structural Properties

Fig. 1 shows X-ray diffraction spectra corresponding to the amorphous and crystalline Al doped ZnO thin films. To study structural properties of amorphous and crystalline Al doped ZnO thin films, XRD was used

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Fig. 1 XRD pattern of as-deposited (a) amorphous and (b) crystalline Al doped ZnO films.

in the 20-80 degree 2θ range. From XRD, it is observed that there is less deposition and growth at low RF power (100 W), hence the sample is amorphous in nature. Increase in RF power increases kinetic energy and mobility of sputtered particles on the surface of Al doped ZnO films. Highly energetic particles can migrate to more appropriate lattice sites and adjust their bond length to optimum bonding to the adjacent ones, which are helpful for nucleation and growth of the film. The crystalline growth of the layer can also be associated to the nucleation and growth of thin film.⁷ The crystal structure of crystalline Al doped ZnO film was associated to a c-axis (002) oriented wurtzite type hexagonal structure.⁸ The crystalline Al doped ZnO film shows preferred orientation along (002) plane may be due to the minimization of the internal stress of the crystal plane of the film. The crystallite size 22.6 nm of the crystalline Al doped ZnO film was calculated by using Scherer's formula as equation (1),

$$D = \frac{0.9\lambda}{\beta \cos \theta} \quad (1)$$

where λ , θ , β and D is the wavelength of the X-ray, Bragg diffraction angle, full width half maximum of the peak and average crystallite size of the Al doped ZnO films.

3.2 Morphological Properties

The surface roughness and surface topography were studied using atomic force microscopy. Fig. 2 (a) and (b) show AFM images of amorphous and crystalline Al doped ZnO thin films. Both the amorphous and crystalline films show very uniform and densely packed surface morphology. The particle size approximately 200 to 400 nm can be seen for the amorphous Al doped ZnO sample. The improvement in the particle size approximately 500 to 700 nm is observed for the crystalline Al doped ZnO sample. The increased particle size of the crystalline film can be due to the releasing of more atoms, during sputter deposition at high RF power (200 W). The large clusters observed in Fig. 2 (b) are formed by the agglomeration of small grains. Average roughness for amorphous and crystalline Al doped ZnO films were 7.16 nm and 7.78 nm respectively. The formation of a textured surface with pyramidal structures gives the higher roughness to crystalline film.

3.3 Optical Properties

The transmission and absorption spectra of the amorphous and crystalline Al doped ZnO thin films are shown in Fig. 3 (A) and (B), respectively.

The crystalline Al doped ZnO film shows 98.6 % transparency in visible region. The transmission of deposited crystalline film show lower transparency than amorphous film, it may be due to high roughness of the crystalline film which increase the optical scattering.¹⁰ The absorption curve shown in Fig. 2 (B) revealed that the absorption increases for the crystalline sample, this could be because of scattering of incident light at grain boundaries or due to rough surface. This result is in good agreement with the AFM results. The increased absorption could also be due to increase in thickness of the sample. The fundamental absorption edge is red shifted for the crystalline sample. This shift could be associated to the increased particle size. The optical band gap of both the film is determined using the Tauc equation (2),

$$ah\nu = A(h\nu - E_g)^2 \quad (2)$$

where, E_g is the optical band gap, $h\nu$ is the incident photon energy and A is the constant. The inset of Fig. 2 (B) shows the tauc plot of the amorphous and crystalline sample respectively. The energy band gaps of amorphous and crystalline samples are 3.51 and 3.32 eV. Crystalline

Fig. 2 AFM images of (a) amorphous (b) crystalline Al doped ZnO films.

Fig. 3 (A) Transmission spectra of the (a) amorphous and (b) crystalline Al doped ZnO thin films and (B) Absorbance of the (a) amorphous and (b) crystalline Al doped ZnO thin films, inset shows the Tauc plot.

film shows lower band gap than amorphous film due to the increasing thickness as well as the crystallite size of the Al doped ZnO films as a result of confinement effect.⁸ Thicknesses of both the Al doped ZnO films were calculated by using fringes observed in transmission spectra of both the films. The film thickness 500 and 700 nm were observed for the amorphous and crystalline films respectively. Enhancement in film thickness may be due to the increase in crystallite size of the sample. Above result is well consistent with transmission spectra and XRD results.

Photoluminescence spectra of the amorphous and crystalline Al doped ZnO thin films were studied to reveal the electronic structure and defect related transitions at room temperature. Fig. 4 shows the Photoluminescence (PL) spectra of the amorphous and crystalline Al doped ZnO thin films at room temperature. Photoluminescence spectra of the amorphous and crystalline Al doped ZnO thin film obtained with the excitation wavelength of 280 nm. The emission peaks were attributed around 401 nm (UV emission), 419 nm (violet emission), 447 nm (violet-blue emission), 481 nm (blue emission), 535 nm (green

emission) and 399 nm (UV emission), 418 nm (violet emission), 479 nm (blue emission), 535 nm (green emission) for the crystalline and amorphous films respectively. It represents emission due to impurity or defects present in the film. The emission peak observed at 401 and 399 nm for the crystalline and amorphous Al doped ZnO films respectively, are associated to the band to band transition, and are also known as near band edge transition (NBE). It may be arises due to free exciton recombination and depend on the crystallinity of the film.¹² The PL spectra shows that the photoluminescence intensity of crystalline film is greater than those of amorphous film, it may be due to the crystallinity of the crystalline film is greater than amorphous film. This result is very well consistent with XRD result.

The emission peaks E_2 and F_2 at 419 nm and 418 nm are considered as a violet emission peaks. It may be due to zinc interstitial (Zn_i) in the interface traps at the grain boundaries of Al doped ZnO thin films.¹¹ The emission peaks E_3 and F_3 observed at 481 nm and 479 nm for crystalline and amorphous films respectively, are considered as blue emission peaks. It may be due to transitions from zinc inertial to zinc

Fig. 4 PL spectra of the (a) amorphous and (b) crystalline Al doped ZnO films.

Fig. 5 Schematic representation of defect levels in Al doped ZnO thin films.

vacancy $Zn_i \rightarrow V_{zn}$.¹²

The emission peaks observed around 535 nm is related to green line emission. The radial recombination of photo generated hole with electron of singly ionized charged particles is responsible for green emission peak.¹³ Those peaks represent the presence of V_o^+ defects in both amorphous and crystalline Al doped ZnO films. Fig. 5 shows the schematic representation of defect level with possible transitions responsible for various photoemissions in amorphous and crystalline Al doped ZnO thin films.

3.4 Electrical Properties

The electrical resistivity, carrier concentration and mobility of the amorphous and crystalline Al doped ZnO thin films were measured by using Hall measurement system. The electrical resistivity (ρ), Hall mobility (μ) and carrier concentration (n) were $2.13 \times 10^{-2} \Omega \text{ m}$, $0.79 \text{ m}^2/\text{Vs}$ and $3.6 \times 10^{14} \text{ m}^{-3}$ and $4.2 \times 10^{-3} \Omega \text{ m}$, $0.15 \text{ m}^2/\text{Vs}$ and $9.7 \times 10^{15} \text{ m}^{-3}$ for the amorphous and crystalline Al doped ZnO films respectively. The crystalline Al doped ZnO film shows low resistivity than amorphous, which can be attributed to the increase of grain size. Increased grain size reduces the grain boundary scattering, resulting in higher conductivity of the crystalline film than amorphous film. Above result is consistent with AFM results.

4. Conclusion

The transparent and highly conducting amorphous and crystalline Al doped ZnO thin films have been successfully grown by RF sputtering technique. XRD data revealed that there is less deposition and growth at low RF power (100 W), hence the sample is amorphous in nature, and the film deposited at 200 W RF power exhibits crystallinity along c-axis (002) orientation and shows hexagonal wurtzite crystal structure. The morphological and optical investigation revealed that the average roughness and film thickness of the crystalline film is greater than amorphous film. The reduction in optical band gap of crystalline sample

is due to increase in thickness as well as the crystallite size as a result of confinement effect. Photoluminescence spectra show the defect related transitions peaks in visible region. The electrical investigation revealed that the crystalline Al doped ZnO film achieved minimum resistivity i.e. $4.2 \times 10^{-3} \Omega \text{ m}$.

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